

# Integrated Food Security and Humanitarian Phase Classification Reference Table (FAO/FSAU June 2006)

Phase Classification		Key Reference Outcomes <i>(current or imminent outcomes on lives and livelihoods; based on convergence of evidence)</i>	Strategic Response Framework <i>(mitigate immediate outcomes, support livelihoods, and address underlying/structural causes)</i>
1	Generally Food Secure	<i>Crude Mortality Rate</i> < 0.5 / 10,000 / day <i>Acute Malnutrition</i> <3 % (w/h <-2 z-scores) <i>Stunting</i> <20% (w/age <-2 z-scores) <i>Food Access/ Availability</i> usually adequate (> 2,100 kcal ppp day), stable <i>Dietary Diversity</i> consistent quality and quantity of diversity <i>Water Access/Avail.</i> usually adequate (> 15 litres ppp day), stable <i>Hazards</i> moderate to low probability and vulnerability <i>Civil Security</i> prevailing and structural peace <i>Livelihood Assets</i> generally sustainable utilization (of 5 capitals)	Strategic assistance to pockets of food insecure groups Investment in food and economic production systems Enable development of livelihood systems based on principles of sustainability, justice, and equity Prevent emergence of structural hindrances to food security Advocacy
2	Chronically Food Insecure	<i>Crude Mortality Rate</i> <0.5/10,000/day; U5MR<1/10,000/day <i>Acute Malnutrition</i> >3% but <10 % (w/h <-2 z-score), usual range, stable <i>Stunting</i> >20% (w/age <-2 z-scores) <i>Food Access/ Availability</i> borderline adequate (2,100 kcal ppp day); unstable <i>Dietary Diversity</i> chronic dietary diversity deficit <i>Water Access/Avail.</i> borderline adequate (15 litres ppp day); unstable <i>Hazards</i> recurrent, with high livelihood vulnerability <i>Civil Security</i> Unstable; disruptive tension <i>Coping</i> 'insurance strategies' <i>Livelihood Assets</i> stressed and unsustainable utilization (of 5 capitals) Structural Pronounced underlying hindrances to food security	Design & implement strategies to increase stability, resistance and resilience of livelihood systems, thus reducing risk Provision of 'safety nets' to high risk groups Interventions for optimal and sustainable use of livelihood assets Create contingency plan Redress structural hindrances to food security Close monitoring of relevant outcome and process indicators Advocacy
3	Acute Food and Livelihood Crisis	<i>Crude Mortality Rate</i> 0.5-1 /10,000/day, U5MR 1-2/10,000/dy <i>Acute Malnutrition</i> 10-15 % (w/h <-2 z-score), > than usual, increasing <i>Disease</i> epidemic; increasing <i>Food Access/ Availability</i> lack of entitlement; 2,100 kcal ppp day via asset stripping <i>Dietary Diversity</i> acute dietary diversity deficit <i>Water Access/Avail.</i> 7.5-15 litres ppp day, accessed via asset stripping Destitution/Displacement emerging; diffuse <i>Civil Security</i> limited spread, low intensity conflict <i>Coping</i> 'crisis strategies'; CSI > than reference; increasing <i>Livelihood Assets</i> accelerated and critical depletion or loss of access	Support livelihoods and protect vulnerable groups Strategic and complimentary interventions to immediately ↑ food access/availability AND support livelihoods Selected provision of complimentary sectoral support (e.g., water, shelter, sanitation, health, etc.) Strategic interventions at community to national levels to create, stabilize, rehabilitate, or protect priority livelihood assets Create or implement contingency plan Close monitoring of relevant outcome and process indicators Use 'crisis as opportunity' to redress underlying structural causes Advocacy
4	Humanitarian Emergency	<i>Crude Mortality Rate</i> 1-2 / 10,000 / day, >2x reference rate, increasing; U5MR > 2/10,000/day <i>Acute Malnutrition</i> >15 % (w/h <-2 z-score), > than usual, increasing <i>Disease</i> pandemic <i>Food Access/ Availability</i> severe entitlement gap; unable to meet 2,100 kcal ppp day <i>Dietary Diversity</i> Regularly 2-3 or fewer main food groups consumed <i>Water Access/Avail.</i> < 7.5 litres ppp day (human usage only) Destitution/Displacement concentrated; increasing <i>Civil Security</i> widespread, high intensity conflict <i>Coping</i> 'distress strategies'; CSI significantly > than reference <i>Livelihood Assets</i> near complete & irreversible depletion or loss of access	Urgent protection of vulnerable groups Urgently ↑ food access through complimentary interventions Selected provision of complimentary sectoral support (e.g., water, shelter, sanitation, health, etc.) Protection against complete livelihood asset loss and/or advocacy for access Close monitoring of relevant outcome and process indicators Use 'crisis as opportunity' to redress underlying structural causes Advocacy
5	Famine / Humanitarian Catastrophe	<i>Crude Mortality Rate</i> > 2/10,000 /day (example: 6,000 /1,000,000 /30 days) <i>Acute Malnutrition</i> > 30 % (w/h <-2 z-score) <i>Disease</i> pandemic <i>Food Access/ Availability</i> extreme entitlement gap; much below 2,100 kcal ppp day <i>Water Access/Avail.</i> < 4 litres ppp day (human usage only) Destitution/Displacement large scale, concentrated <i>Civil Security</i> widespread, high intensity conflict <i>Livelihood Assets</i> effectively complete loss; collapse	Critically urgent protection of human lives and vulnerable groups Comprehensive assistance with basic needs (e.g. food, water, shelter, sanitation, health, etc.) Immediate policy/legal revisions where necessary Negotiations with varied political-economic interests Use 'crisis as opportunity' to redress underlying structural causes Advocacy

Early Warning Levels	Probability / Likelihood (of worsening Phase)	Severity (of worsening phase)	Reference Hazards and Vulnerabilities	Implications for Action
Watch	As yet unclear	Not applicable	<i>Hazard:</i> occurrence of, or predicted event stressing livelihoods; with low or uncertain vulnerability <i>Process Indicators:</i> small negative change from normal	Close monitoring and analysis
Moderate Risk	Elevated probability / likelihood	Specified by predicted Phase Class, and as indicated by color of diagonal lines on map.	<i>Hazard:</i> occurrence of, or predicted event stressing livelihoods; with moderate vulnerability <i>Process Indicators:</i> large negative change from normal	Close monitoring and analysis Contingency planning Step-up current Phase interventions
High Risk	High probability; 'more likely than not'		<i>Hazard:</i> occurrence of, or strongly predicted major event stressing livelihoods; with high vulnerability <i>Process Indicators:</i> large and compounding negative changes	Preventative interventions--with increased urgency for High Risk populations Advocacy